

TECH CENTER 2000

INFORMATION DISCLOSURE STATEMENT

FIRST INVENTOR: Yamada et al. TITLE DEPOSITION MASK AND MANUFACTURING METHOD THEREOF, AND ELECTROLUMINESCENCE DISPLAY DEVICE AND ANUFACTURING. EXAMINER Kenneth J. Ramsey ART UNIT 2879 100 £ 330 Applicant submits herewith a copy of (a) attached form PTO-1449, which lists all patents, publications, applications, or other information submitted for consideration by the Office; (b) a legible copy of each document required by 37 C.F.R. \$1.98(b)(2). Applicant herein apprises the Patent Office of references cited in a parent U.S. application from which this application obtains the benefit of an earlier filing date under 35 U.S.C. \$120. The Serial Number of the parent application is U.S. Application The publications cited therein are listed on attached Form PTO-1449. In accordance with 37 C.F.R. \$1.98(d) copies of the listed publications are not required. — Additional parent patent applications are listed on an attached sheet. 3. If in accordance with 37 C.F.R. \$1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below): A copy of a translation of one or more non-English document, or portion thereof, is provided herewith; A combine explanation is (check at least one box below): Provided in the accompanying foreign existence in the provided parent provided herewith. C.F.R. \$1.97(b)(1), (b)(2) - WITHIN 3 MONTHS OF FILING OR ENTRY IN NATIONAL STAGE Since this Information Disclosure Statement is being flied within three months of the filing date of the subject application or within three months of the fate of entry of the national stage as set forth in 37 C.F.R. \$1.491 in an international application, no fee or certification under 37 C.F.R. \$1.97(e) is required. 37 C.F.R. \$1.97(b)(3), (b)(4) - PRIOR TO MAILING OF FIRST OFFICE ACTION OFFIRST ACTION AFTER EQUEST FOR CONTINUED EXAMINATION in the situation of the filing of a request for continued examination under 37 C.F.R. \$1.91(b), but before the mailing of a first Office action after the filing of a request for con	ΑΊ	TY.	DOCKET NUMBER YKI-0060 SERIAL NUMBER 09/748,470 FILED 12/26/2000 1N30	LECH					
Applicant submits herewith a copy of (a) attached from PTO-1449, which lists all patients, publications, applications, or other information submitted for consideration by the Office; (b) a legible copy of each document required by 37 C.F.R. §1.198(b)(2). Applicant herein applicas the Patient Office of references cited in a parent U.S. application is U.S. Applicant of the patient application is U.S. Applicant in the benefit of an earfier filling date under 38 U.S.C. §120. The Serial Number of the parent application is U.S. Applicant in the benefit of an earfier filling date under 38 U.S.C. §120. The Serial Number of the parent application is U.S. Applicant in the benefit of an earfier filling date under 38 U.S.C. §120. The Serial Number of the parent application is U.S. Applicant in U.S. Applicant in the parent application are instead on attached sheet. In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below): In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below): In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below): In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below): In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below): In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below): In accordance with 37 C.F.R. §1.98(a)(a), Applicant hereby certifies that one and reference in the parent par	FII	RST	INVENTOR: <u>Yamada et al.</u> TITLE <u>DEPOSITION MASK AND MANUFACTURING</u>						
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Applicant herein apprises the Patent Office of references cled in a parent U.S. application from which this application obtains the benefit of an earlier filling date under 35 U.S. c) (20.7 The Serial Number of the parent application is U.S. Application in the publications cited therein are listed on attached Form PTO-1449. In accordance with 37 C.F.R. § 1-98(4) copies of the listed publications are not required. Additional parent patent applications are listed on an attached sheet. In accordance with 37 C.F.R. § 1-98(4)(3), Applicant hereby contifies that for each reference on in English (check at least one box below): Y A copy of a translation of one or more mon-English document, or portion thereof, is provided herewith. Your object of the accompanying foreign and the provision of the control of the accompanying foreign and the accompanying foreign entremptical expension. (Incorporated into the specification; and/or Exprovided herewith. Your object of the accompanying foreign entremptical expression. (Incorporated into the specification; and/or Exprovided herewith. Your object of the accompanying foreign entremptical expression. (Incorporated into the specification; and/or Exprovided herewith. Your object of the specification of the accompanying foreign entremptical expression. (Incorporated into the specification; and/or Exprovided herewith. Your object of the specification of the accompanying foreign entremptical expression. (Incorporated into the specification; and/or Exprovided herewith. Your object of the specification of the specification of the specification of the date of entry of the national stage as set forth in 37 C.F.R. § 1.97(b), United the subject application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.97(b). (Incorporated into the specification of a first Office accompanies to being filed before the mailing date of the first Office Accidence of the specification of the specification of a first Office accidence of the specification of	Ţ,	etan.							
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GP A copy of a translation of one or more non-English document, or portion thereof, is provided herewith; GP A condise explanation is (check at least one box below); GP rovided in the accompanying foreign er internative describing the control of the provided in the specification; and/or GP provided herewith. 37 C.F.R. §1.97(b)(1), (b)(2) – WITHIN 3 MONTHS OF FILING OR ENTRY IN NATIONAL STAGE 4. Since this information Disclosure Statement is being filled whith three months of the filing date of the subject application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, no fee or certification under 37 C.F.R. §1.97(b)(3), (b)(4) – PRIOR TO MAILING OF FIRST OFFICE ACTION OR FIRST ACTION AFTER REQUEST FOR CONTINUED EXAMINATION 5. Since this information Disclosure Statement is being filled before the mailing of a first Office action on the ments, or before the mailing of a first Office action after the filling of a request for continued examination under 37 C.F.R. §1.114, no fee or certification under 37 C.F.R. §1.97(e) is required. 37 C.F.R. §1.97(e) - AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWANCE 6. GF Since this information Disclosure Statement is being filled outside of the period provided for in 37 C.F.R. §1.97(b), but before the mailing date of a Final Rejection or Notice of Allowance, this submission is being accompanied by one of the following boxes must be checked): a. GF the fee required under 37 C.F.R. §1.97(e) (Box 8 or 9 must be checked). 37 C.F.R. §1.97(e) - AFTER FINAL REJECTION OR ALLOWANCE 7. Since this information Disclosure Statement is being filled after the period specified in 37 C.F.R. §1.97(e), but on or before payment of the issue fee and is accompanied by both the statement specified in 37 C.F.R. §1.97(e), and the fee set forth in 37 C.F.R. §1.97(e). (Box 8 or 9 must be checked). 38 C.F.R. §1.97(e) - AFTER FINAL REJECTION OR ALLOWANCE 19 In accordance with 37 C.F.R. §1.97(e)(1), Applicant's attor			are not required. Additional parent patent applications are listed on an attached sheet.						
37 C.F.R. §1.97(b)(1), (b)(2) – WITHIN 3 MONTHS OF FILEO OR ENTRY IN NATIONAL STAGE 37 C.F.R. §1.97(b)(1), (b)(2) – WITHIN 3 MONTHS OF FILEO OR ENTRY IN NATIONAL STAGE 37 C.F.R. §1.97(b)(3), (b)(4) – PRIOR TO MAILING OFFICE ACTION OR FIRST ACTION AFTER REQUEST FOR CONTINUED EXAMINATION 37 C.F.R. §1.97(b)(3), (b)(4) – PRIOR TO MAILING OFFICE ACTION OR FIRST ACTION AFTER REQUEST FOR CONTINUED EXAMINATION 5. Since this information Disclosure Statement is being filed whithin three months of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet of the first Office Action on the merits, or before the mailing of a feet first Office Action on the merits of the first Office Action on the first Office Action	3.	¥	A copy of a translation of one or more non-English document, or portion thereof, is provided herewith; A concise explanation is (check at least one box below):						
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of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, no fee or certification under 37 C.F.R. §1.97(e) is required. 37 C.F.R. §1.97(b) is required. 37 C.F.R. §1.97(b) / PRIOR TO MAILING OF FIRST OFFICE ACTION OR FIRST ACTION AFTER REQUEST FOR CONTINUED EXAMINATION 5. Since this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114, no fee or certification under 37 C.F.R. §1.97(c) is required. 37 C.F.R. §1.97(c) - AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWANCE Since this Information Disclosure Statement is being filed outside of the period provided for in 37 C.F.R. §1.97(b), but before the mailing date of a Final Rejection or Notice of Allowance, this submission is being accompanied by (one of the following boxes must be checked): a. 37 C.F.R. §1.97(c) - AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWANCE 3. b. the statement specified in 37 C.F.R. §1.97(e) (Box 8 or 9 must be checked). 37 C.F.R. §1.97(d) - AFTER FINAL REJECTION OR ALLOWANCE 7. Since this Information Disclosure Statement is being filed after the period specified in 37 C.F.R. §1.97(c), but on or before payment of the issue fee and is accompanied by both the statement specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p). (Box 8 or 9 must be checked.) 8. In accordance with 37 C.F.R. §1.97(e)(1), Applicant's attorney certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign paperated from information contained in this Information Disclosure Statement was first cited in a communication from a foreign paperated from international search report(s) lafare enclosed. 9. In accordance with 37 C.F.R. §1.97(e)(2), Applicant's attorney certifies that no item of information contained in this			Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application or within three months						
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37 C.F.R. §1.97(d) – AFTER FINAL REJECTION OR ALLOWANCE 7. Since this Information Disclosure Statement is being filed after the period specified in 37 C.F.R. §1.97(c), but on or before payment of the issue fee and is accompanied by both the statement specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p). (Box 8 or 9 must be checked.) 8. In accordance with 37 C.F.R. §1.97(e)(1), Applicant's attorney certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. ☐ The foreign or international search report(s) is/are enclosed. 9. In accordance with 37 C.F.R. §1.97(e)(2), Applicant's attomey certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the information disclosure statement. 10. In the event the Commissioner of Patents deems that any additional fee is required under 37 C.F.R. §§ 1.16 or 1.17 in connection with this application, Applicant's attomeys authorize that such fee be charged to Deposit Account No. 06-1130 11. Consideration of this Information Disclosure Statement is respectfully requested. Name: Joey T. Charlton Patent Long Registration Number 52,721 Date November 23, 2004	6.	a.	of a Final Rejection or Notice of Allowance, this submission is being accompanied by (one of the following boxes must be checked): the fee required under 37 C.F.R. §1.97(c)(2) and specified in 37 C.F.R. §1.17(p).						
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Name: Joe T. Charlton Registration Number 52,721 Signature Date November 23, 2004 CERTIFICATE OF MAILING OR TRANSMISSION: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or [] facsimile transmitted to the U.S. Patent and Trademark Office to Facsimile No	10.		In the event the Commissioner of Patents deems that any additional fee is required under 37 C.F.R. §§ 1.16 or 1.17 in connection with this application, Applicant's attorneys authorize that such fee be charged to Deposit Account No. 06-1130.						
Signature Date November 23, 2004 CERTIFICATE OF MAILING OR TRANSMISSION: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or [] facsimile transmitted to the U.S. Patent and Trademark Office to Facsimile No	11.	Con	onsideration of this Information Disclosure Statement is respectfully requested.						
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sufficient postage(as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or [] facsimile transmitted to the U.S. Patent and Trademark Office to Facsimile No		Signa	nature Date November 23, 2004						
Name Patricia A. Hart	SI	ufficient	nt postage(as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or [] facsimile						
	- ur		and to the 5.5.1 dept and materials office to accommense.						

cket No. YKI-0060 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DEC 2 - LUJ4 licant: TSUTOMU YAMADA ET AL. Group Art Unit: 2879 **TECH CENTER 2800** Serial No.: 09/748,470 Examiner: K. J. Ramsey **DECEMBER 26, 2000** Filing Date: **DEPOSITION MASK AND** For: MANUFACTURING METHOD THEREOF,) AND ELECTROLUMINESCENCE DISPLAY DEVICE AND

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

MANUFACTURING METHOD THEREOF)

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

11/29/2004 HLE333 00000017 061130 09748470 Respectfully submitted,

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CANTOR COLBURN LLP

Joel T. Charlton

Registration No. 52,721

Date: November 23, 2004 Customer No.: 23413 Telephone: (860) 286-2929

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